

# Gröbner Basis Cryptosystems

## § 1 Gröbner Bases in Free Associative Algebras

$K$  (commutative ) field

$\Sigma = \{x_1, \dots, x_n\}$  finite alphabet

$\Sigma^*$  set of terms (or words) over  $\Sigma$

A term  $w$  is of the form  $w = x_{i_1} x_{i_2} \cdots x_{i_s}$

$K[\Sigma^*]$  free associative  $K$ -algebra

$f \in K[\Sigma^*]$  is of the form  $f = a_1 w_1 + \cdots + a_r w_r$  with  $a_i \in K$  and  $w_i \in \Sigma^*$

**Definition.** A **term ordering** on  $\Sigma^*$  is a well-ordering  $\sigma$  such that

$$1) \ w_1 \geq_{\sigma} w_2 \quad \Rightarrow \quad w_3 w_1 w_4 \geq_{\sigma} w_3 w_2 w_4$$

$$2) \ w_1 w_2 w_3 \geq_{\sigma} w_2$$

**Examples.** a)  $\sigma = \text{lex}$  length-lexicographic ordering

b)  $\sigma = \text{tlex}$  total lexicographic ordering

**Definition.** a) For  $f = a_1 w_1 + \cdots + a_r w_r \in K[\Sigma^*] \setminus \{0\}$ , the **leading term** of  $f$  is  $\text{LT}_{\sigma}(f) = \max_{\sigma}\{w_i\}$ .

b) For a right-ideal  $I \subseteq K[\Sigma^*]$ , the **leading term ideal** of  $I$  is

$$\text{LT}_{\sigma}(I) = \langle \text{LT}_{\sigma}(f) \mid f \in I \setminus \{0\} \rangle$$

and the **right leading term ideal** of  $I$  is

$$\text{LT}_\sigma^r(I) = \langle \text{LT}_\sigma(f) \mid f \in I \setminus \{0\} \rangle_r$$

c) A subset  $G \subseteq I$  is called a  **$\sigma$ -Gröbner basis** of  $I$  if  $\text{LT}_\sigma(I) = \langle \text{LT}_\sigma(g) \mid g \in G \rangle$ . It is called a **right  $\sigma$ -Gröbner basis** of  $I$  if  $\text{LT}_\sigma^r(I) = \langle \text{LT}_\sigma(g) \mid g \in G \rangle_r$ .

**Questions:** 1) Do Gröbner bases exist?

2) Can they be computed?

3) What are they good for?

**Definition.** Let  $I \subseteq K[\Sigma^*]$  be a right ideal and  $G \subseteq I$ .

a) The **rewrite rule**  $\xrightarrow{G}$  defined by  $G$  is the reflexive, transitive closure of all  $\xrightarrow{g}$  with  $g \in G$ , where  $f \xrightarrow{g} h$  means that there is a term  $w \in \text{Supp}(f)$  such that  $w = \text{LT}_\sigma(g)w'$  and  $h = f - cgw'$  with  $c \in K$  such that  $w \notin \text{Supp}(h)$ .

b) The rewrite rule  $\xrightarrow{G}$  is called **Noetherian** if every chain  $f_1 \xrightarrow{g_1} f_2 \xrightarrow{g_2} \dots$  with  $g_1, g_2, \dots \in G$  becomes eventually stationary.

c) The rewrite rule  $\xrightarrow{G}$  is called **confluent** if  $f_1 \xrightarrow{G} f_2$  and  $f_1 \xrightarrow{G} f_3$  implies that there exists  $f_4$  such that  $f_2 \xrightarrow{G} f_4$  and  $f_3 \xrightarrow{G} f_4$ .

**Proposition 1.1.** Let  $I \subseteq K[\Sigma^*]$  be a right ideal and  $G \subseteq I$ .

- a) The rewrite rule  $\xrightarrow{G}$  is Noetherian.
- b)  $G$  is a right  $\sigma$ -Gröbner basis of  $I$  iff  $\xrightarrow{G}$  is confluent.
- c) If  $\xrightarrow{G}$  is confluent, every element  $f \in K[\Sigma^*]$  has a unique **normal form**  $\text{NF}_{\sigma,I}(f)$  such that  $f \xrightarrow{G} \text{NF}_{\sigma,I}(f)$  and such that  $\text{NF}_{\sigma,I}(f)$  cannot be reduced further.

**Definition.** Given  $f_1, f_2 \in K[\Sigma^*]$  and  $w_1, w_2 \in \Sigma^*$  such that

- 1)  $\text{LT}_\sigma(f_1)w_1 = w_2 \text{LT}_\sigma(f_2)$ ,
- 2)  $w_1$  is not a multiple of  $\text{LT}_\sigma(f_2)$  and  $w_2$  is not a multiple of  $\text{LT}_\sigma(f_1)$ ,

we call  $S(f_1, f_2, w_1, w_2) = \frac{1}{\text{LC}_\sigma(f_1)} f_1 w_1 - \frac{1}{\text{LC}_\sigma(f_2)} w_2 f_2$  the **S-polynomial** of  $f_1$  and  $f_2$ .

**Theorem 1.2. (Buchberger Criterion)**

Let  $I \subseteq K[\Sigma^*]$  be a two-sided ideal and  $G \subseteq I$  an LT-reduced subset. Then  $G$  is a  $\sigma$ -Gröbner basis of  $I$  if and only if  $S(g_1, g_2, w_1, w_2) \xrightarrow{G} 0$  for all S-polynomials of elements  $g_1, g_2 \in G$ .

**Theorem 1.3. (Buchberger's Algorithm)**

Let  $I = \langle f_1, \dots, f_s \rangle$  be a two-sided ideal in  $K[\Sigma^*]$ . Consider the following instructions.

- 1) Start with  $G = \{g_1, \dots, g_s\}$ , where  $g_i = f_i$ , and let  $B$  be the set of all S-polynomials involving elements of  $G$ .
- 2) If  $B = \emptyset$ , return  $G$  and stop. Otherwise, choose  $S = S(g_i, g_j, w_i, w_j) \in B$  and remove it from  $B$ .
- 3) Compute  $S' = \text{NR}_{\sigma, G}(S)$ . If  $S' = 0$ , continue with step 2).
- 4) Append  $S'$  to  $G$  and all S-polynomials involving  $S'$  and previous elements of  $G$  to  $B$ . Continue with step 2).

This is a procedure such that  $G = \{g_1, g_2, \dots\}$  is a  $\sigma$ -Gröbner basis of  $I$ . If the procedure stops, the resulting set  $G$  is a finite  $\sigma$ -Gröbner basis of  $I$ .

**Remarks.** a) A finite  $\sigma$ -Gröbner basis of  $I$  need not exist.

b) If  $I$  has a finite Gröbner basis, we can effectively compute in the residue class ring  $K[\Sigma]/I$ .

c) If  $I$  is a finitely generated right ideal, it has a finite right  $\sigma$ -Gröbner basis which can be computed in finitely many steps.

## § 2. Gröbner Bases for Monoid Rings

$M$  finitely presented monoid, i.e.  $M = \Sigma^* / \sim_R$ , where

$\Sigma^*$  is the monoid of all terms in the alphabet  $\Sigma$

$\sim_R$  is the congruence relation on  $\Sigma^*$  generated by finitely many relations  $w_1 \sim w'_1, \dots, w_r \sim w'_r$ .

$$I_M = \langle w_1 - w'_1, \dots, w_r - w'_r \rangle \subseteq K[\Sigma^*]$$

$K[M] = K[\Sigma^*] / I_M$  monoid ring

We assume that  $I_M$  has a finite Gröbner basis, i.e. that we can effectively compute in  $K[M]$ .

Many computational problems for monoids and groups can be treated using Gröbner bases.

### **Proposition 2.1. (The Word Problem for Monoids)**

For  $w_1, w_2 \in \Sigma^*$ , the following conditions are equivalent:

- 1)  $\bar{w}_1 = \bar{w}_2$  in  $M$
- 2)  $w_1 - w_2 \in I_M$  (“ideal membership”)

### **Proposition 2.2. (The Generalized Word Problem for Monoids)**

Let  $S \subseteq M$ , and let  $\langle S \rangle$  be the submonoid of  $M$  generated by  $S$ . For  $w \in \Sigma^*$ , the following conditions are equivalent:

- 1)  $\bar{w} \in \langle S \rangle$
- 2)  $\bar{w} - 1 \in K[s - 1 \mid s \in S] \subseteq K[M]$  (“subalgebra membership”)

**Prop. 2.3. (Generalized Word Problem for Groups)**

Let  $M$  be a group,  $S \subseteq M$  a finite subset, and  $U = \langle S \rangle$  the subgroup of  $M$  generated by  $S$ . For  $\bar{w} \in K[M]$ , the following conditions are equivalent:

- 1)  $\bar{w} \in U$
- 2)  $\bar{w}-1 \in \langle s-1 \mid s \in S \rangle_r \subseteq K[M]$  (“right ideal membership”)

**Definition.** Let  $\bar{f}_1, \dots, \bar{f}_s \in K[M]$ .

- a) The right  $K[M]$ -submodule  $\text{Syz}_{K[M]}^r(\bar{f}_1, \dots, \bar{f}_s) = \{(\bar{g}_1, \dots, \bar{g}_s) \in K[M]^s \mid \bar{f}_1 \bar{g}_1 + \dots + \bar{f}_s \bar{g}_s = 0\}$  of  $K[M]^s$  is called the **right syzygy module** of  $(\bar{f}_1, \dots, \bar{f}_s)$ .
- b) The right  $K[M]$ -module  $\text{Syz}_{K[M]}(\bar{f}_1, \dots, \bar{f}_s) = \{(\bar{g}_1, \dots, \bar{g}_s, \bar{h}_1, \dots, \bar{h}_s) \in (K[M]^{\text{op}})^s \oplus K[M]^s \mid \bar{g}_1 \bar{f}_1 \bar{h}_1 + \dots + \bar{g}_s \bar{f}_s \bar{h}_s = 0\}$  is called the **(two-sided) syzygy module** of  $(\bar{f}_1, \dots, \bar{f}_s)$ .

**Prop. 2.4. (The Conjugation and the Conjugator Search Problem for Groups)**

Let  $M$  be a group. For  $\bar{w}_1, \bar{w}_2 \in M$ , the following conditions are equivalent:

- 1)  $\bar{w}_1 = \bar{w}_3 \bar{w}_2 \bar{w}_3^{-1}$  for some  $\bar{w}_3 \in M$
- 2)  $\text{Syz}_{K[M]}(\bar{w}_1, \bar{w}_2) \cap \{(e, -\bar{w}, \bar{w}, e) \mid \bar{w} \in M\} \neq \emptyset$

*Proof:*  $\bar{w}_1 = \bar{w}_3 \bar{w}_2 \bar{w}_3^{-1} \iff e \cdot \bar{w}_1 \cdot \bar{w}_3 - \bar{w}_3 \cdot \bar{w}_2 \cdot e = 0 \quad \square$

### § 3. Gröbner Bases for Right Modules

$F = \bigoplus_{\lambda \in \Lambda} K[\Sigma^*]$  free  $K[\Sigma^*]$ -module

$\{e_\lambda \mid \lambda \in \Lambda\}$  canonical basis of  $F$

$U \subseteq F$  right submodule

**Definition.** a) A **term** in  $F$  is an element of the form  $e_\lambda w$  with  $\lambda \in \Lambda$  and  $w \in \Sigma^*$ .

b) A **module term ordering**  $\tau$  is a well-ordering on the set of terms in  $F$  such that

$$1) e_\lambda w_1 \leq_\tau e_\mu w_2 \Rightarrow e_\lambda w_3 w_1 w_4 \leq_\tau e_\mu w_3 w_2 w_4$$

$$2) e_\lambda \leq_\tau e_\lambda w \text{ for all } w \in \Sigma^*$$

c) For  $v = \sum_{\lambda \in \Lambda} e_\lambda w_\lambda \neq 0$ , the **leading term** of  $v$  is  $\text{LT}_\tau(v) = \max_\tau \{v_\lambda \mid v_\lambda \neq 0\}$

d) The **leading term module** of  $U$  is the right submodule  $\text{LT}_\tau(U) = \langle \text{LT}_\tau(v) \mid v \in U \setminus \{0\} \rangle_r$  of  $F$ .

e)  $G \subseteq U$  is called a **right  $\tau$ -Gröbner basis** of  $U$  if  $\text{LT}_\tau(U) = \langle \text{LT}_\tau(g) \mid g \in G \rangle_r$ .

**Remarks.** a) One can extend Buchberger's Algorithm to right modules. Instead of S-polynomials one has to consider

$$\mathbf{S}\text{-vectors } S(v_1, v_2, w_1, w_2) = \frac{1}{\text{LC}_\tau(v_1)} v_1 - \frac{1}{\text{LC}_\tau(v_2)} v_2 w.$$

b)  $U$  has a finite right  $\tau$ -Gröbner basis  $G$ . One can decide submodule membership and compute effectively in  $F/U$ .

c) Every  $v \in F$  has a unique normal form  $v' = \text{NF}_{\tau,U}(v)$  which can be computed using  $G$ .

**Proposition 3.1. (Macaulay Basis Theorem)**

The residue classes of the terms in

$$\mathcal{O}_{\tau}(U) = \{e_{\lambda}w \mid \lambda \in \Lambda, w \in \Sigma^*\} \setminus \text{LT}_{\tau}(U)$$

form a  $K$ -basis of  $F/U$ .



## § 4. Gröbner Basis Cryptosystems

$M = \Sigma^* / \sim_R$  finitely presented monoid

$F = \bigoplus_{\lambda \in \Lambda} K[\Sigma^*]$  free  $K[\Sigma^*]$ -module

$\tau$  module term ordering

$\bar{F} = F / I_M$  free  $K[M]$ -module

$U \subseteq F$  right submodule which represents a right submodule

$\bar{U} \subseteq \bar{F}$ , i.e. such that  $I_M F \subseteq U$

*Public:*  $F, \tau, \mathcal{O}_\tau(U)$ , vectors  $u_1, \dots, u_s \in U$

*Secret:*  $G$  right  $\tau$ -Gröbner basis of  $U$

*Encoding:* A plaintext unit is a vector  $v \in \langle \mathcal{O}_\tau(U) \rangle_K$ , i.e. a linear combination  $v = c_1 e_{\lambda_1} w_1 + \dots + c_r e_{\lambda_r} w_r$  such that  $c_i \in K$ ,  $\lambda_i \in \Lambda$ , and  $w_i \in \Sigma^*$ .

The corresponding ciphertext unit is  $w = v + u_1 f_1 + \dots + u_s f_s$  with “randomly” chosen  $f_1, \dots, f_s \in K[\Sigma^*]$ .

[Variant:  $w = (f_0, v f_0 + u_1 f_1 + \dots + u_s f_s)$ ]

*Decoding:* Using  $\xrightarrow{G}$ , compute  $v = \text{NF}_{\sigma, G}(w)$ .

[Variant:  $\text{NF}_{\sigma, G}(w) = v f_0$  and  $v = (v f_0) / f_0$ .]

**Remarks.** a) If the attacker can compute  $G$ , he can break the cryptosystem.

b) The attacker knows  $u_1, \dots, u_s$  and  $\mathcal{O}_\tau(U)$ , but not a system of generators of  $U$ . We can make his task difficult by choosing  $u_1, \dots, u_s$  such that a Gröbner basis of  $\langle u_1, \dots, u_s \rangle_r$  is hard to compute.

c) The computation of Gröbner bases is EXTSPACE-hard. (I.e. the amount of memory it requires increases exponentially with the size of the input.)

d) The advantage of using modules (rather than ideals in  $K[\Sigma^*]$ ) is that one can encode hard combinatorial or number theoretic problems in the action of the terms on the canonical basis vectors (see examples below).

e) The free module  $F$  is not required to be finitely generated. Any concrete calculation will involve only finitely many components.

**Example 1.**  $K = \mathbb{F}_q$  finite field

$M = \mathbb{N}^n = \Sigma^* / \sim_R$  where  $R = \{x_i x_j \sim x_j x_i\}$

$F = K[\Sigma^*]$  non-commutative polynomial ring

$\tau = \text{lex}$

$K[M] = K[x_1, \dots, x_n]$  commutative polynomial ring

*Public:*  $F, \tau, \mathcal{O}_\tau(U) = \{1\}, \bar{u}_1, \dots, \bar{u}_s \in K[M]$  commutative polynomials such that  $\bar{u}_i(a_1, \dots, a_n) = 0$

*Secret:*  $(a_1, \dots, a_n) \in \mathbb{F}_q^n$ , corresponding to the Gröbner basis  $\{x_1 - a_1, \dots, x_n - a_n\}$  of the ideal  $\bar{U} = (x_1 - a_1, \dots, x_n - a_n)$

*Encoding:* A plaintext unit  $c \in \mathbb{F}_q$  is encrypted as  $w = c + u_1 f_1 + \dots + u_s f_s$  with “randomly chosen” polynomials  $f_1, \dots, f_s \in K[M]$ .

*Decoding:*  $c = w(a_1, \dots, a_n) = \text{NF}_{\tau, G}(w)$

This is Neil Koblitz’ **polly cracker** cryptosystem. Its disadvantage is that the attacker knows that there is an element in  $w + u_1 \cdot K[M] + \dots + u_s \cdot K[M]$  which has support  $\{1\}$ . Hence many coefficients have to vanish. This allows a linear algebra attack.

**Example 2.**  $K = \mathbb{F}_2$ ,  $\Sigma = \{x\}$ ,  $M = \Sigma^* = \mathbb{N}$

$K[M] = K[x]$  polynomial ring in one indeterminate

$p \gg 0$  prime number

$$F = \bigoplus_{i=1}^{p-1} K[x]\epsilon_i \oplus \bigoplus_{j=1}^{p-1} K[x]e_j$$

$g$  generator of  $\mathbb{F}_q^*$

$\tau = \text{PosDeg}$  such that  $\epsilon_{g^{p-1}} >_{\tau} \cdots >_{\tau} \epsilon_g >_{\tau} \epsilon_1 >_{\tau}$

$>_{\tau} e_1 >_{\tau} e_g >_{\tau} \cdots >_{\tau} e_{g^{p-1}}$

*Public:*  $F$ ,  $\tau$ ,  $\mathcal{O}_{\tau}(U) = \{e_1, e_2, \dots, e_{p-1}\}$ ,  $b = g^a \pmod{p}$ ,

$\{u_1, \dots, u_s\} = \{\epsilon_1 - e_1, x\epsilon_i - \epsilon_{gi}, xe_j - e_{bj} \mid i, j = 1, \dots, p-1\}$

where all indices are computed modulo  $p$ .

*Secret:*  $a \in \{1, \dots, p-1\}$ ,  $G = \{u_1, \dots, u_s\} \cup \{\epsilon_i - e_{i^a} \mid i = 1, \dots, p-1\}$   $\tau$ -Gröbner basis of  $U = \langle G \rangle$

*Encryption:* A plaintext unit is of the form  $e_1 + e_c$  with  $c \in \{0, \dots, p-1\}$ . Using the variant, we randomly choose  $k \in \{0, \dots, p-1\}$  and form  $x^k(e_1 + e_c)$ . By adding suitable elements  $u_i$  we compute  $x^k(e_1 + e_c) = x^k\epsilon_1 + x^ke_c = \epsilon_{g^k} + e_{cb^k}$  in  $F/\langle u_1, \dots, u_s \rangle$ . The ciphertext unit is  $w = \epsilon_{g^k} + e_{cb^k}$ .

*Decryption:*  $\text{NF}_{\tau, U}(w) = \text{NF}(e_{b^k} + e_{cb^k}) = \text{NF}(x^k(e_1 + e_c))$ .

In order to divide this vector by  $x^k$ , it suffices to compute  $c = (cb^k)/(b^k)$  in  $\mathbb{F}_p$  and to form  $e_1 + e_c$ .

This is the Gröbner basis version of the **ElGamal** cryptosystem. It can be broken if the attacker is able to compute the discrete logarithm  $a$  of  $b = g^a$  or  $k$  of  $g^k$ .

In the Gröbner basis version, the attacker has to reduce using  $\epsilon_{g^k} \xrightarrow{u_i} \cdots \xrightarrow{u_j} x^k \epsilon_1 \xrightarrow{u_1} x^k e_1$  which takes  $k \gg 0$  reduction steps. If one knows  $a$ , one can get rid of the  $\epsilon_i$  by using just one reduction step  $\epsilon_{g^k} \longrightarrow \epsilon_{g^{ka}}$ .

**Example 3.** Let  $K = \mathbb{F}_2$ ,  $\Sigma = \{x, y\}$ ,  $M = \mathbb{N}^2$

$K[M] = K[\Sigma^*]/\langle xy - yx \rangle = K[x, y]$  polynomial ring

$p, q \gg 0$  prime numbers,  $n = pq$

$$\bar{F} = \bigoplus_{i \in (\mathbb{Z}/n\mathbb{Z})^*} K[x, y] \epsilon_i, \quad \tau = \text{DegLexPos}$$

*Public:*  $F$  (and thus  $n$ ),  $\tau$ ,  $\mathcal{O}_\tau(U) = \{\epsilon_i \mid i \in (\mathbb{Z}/n\mathbb{Z})^*\}$ ,  
 $e \in (\mathbb{Z}/(p-1)(q-1)\mathbb{Z})^*$ ,  $\{u_1, \dots, u_s\} = \{x\epsilon_i - \epsilon_{i^e}, xy\epsilon_j - \epsilon_j \mid$   
 $i, j \in (\mathbb{Z}/n\mathbb{Z})^*\}$

*Secret:*  $p, q$ , a number  $d \in \{1, \dots, n-1\}$  which satisfies  
 $de = 1 \pmod{p-1}$  and  $de = 1 \pmod{q-1}$ , and the  $\tau$ -Gröbner  
basis  $G = \{u_1, \dots, u_s\} \cup \{y\epsilon_i - \epsilon_{i^d} \mid i \in (\mathbb{Z}/n\mathbb{Z})^*\}$  of  $U = \langle G \rangle$ .

*Encryption:* A plaintext unit is a vector  $\epsilon_c \in \mathcal{O}_\tau(U)$ . To  
encrypt it, we form  $xy\epsilon_c$  and add elements of  $\{u_1, \dots, u_s\}$  to  
obtain the cyphertext unit  $w = y\epsilon_{c^e}$ .

*Decryption:* Compute  $\text{NF}_{\tau, U}(y\epsilon_{c^e}) = \text{NF}_{\tau, U}(\epsilon_{c^{ed}}) = \epsilon_c$ .

This is the Gröbner basis version of the **RSA** cryptosystem. If the attacker is able to factor  $n$ , he can break the code. It is easy to see that this is equivalent to being able to find  $d$ . In the Gröbner basis version, the problem the attacker faces is that he doesn't know the Gröbner basis elements  $y\epsilon_i - \epsilon_{i^d}$  which are not even elements of the submodule  $\langle u_1, \dots, u_s \rangle$  that he knows.

**Example 4:** Let  $K$  be a field and  $M = \Sigma^* / \sim_R$  a finitely presented group.

$$K[M] = K[\Sigma^*] / I_M$$

$$\begin{aligned} \bar{F} &= \bigoplus_{\bar{w} \in M} \epsilon_{\bar{w}} K[M] \oplus \bigoplus_{\bar{w} \in M} e_{\bar{w}} K[M] \text{ free right } K[M]\text{-module} \\ \tau &= \text{lex such that } \epsilon_{\bar{w}} >_{\tau} e_{\bar{u}} \text{ for all } w, u \in \Sigma^* \end{aligned}$$

$$\begin{aligned} \text{Public: } F, \tau, g, g' \in M \text{ such that } g' = a^{-1}ga, \mathcal{O}_{\tau}(U) &= \{e_{\bar{w}} \mid \bar{w} \in M\}, \text{ and } \{u_{\lambda} \mid \lambda \in \Lambda\} = \{\epsilon_i h - \epsilon_{h^{-1}ih}, \epsilon_g - e_{g'}, e_j k - \\ &e_{k^{-1}jk} \mid i, j, h, k \in M\} \end{aligned}$$

$$\begin{aligned} \text{Secret: } a \in M, \text{ or equivalently, the } \tau\text{-Gröbner basis } G &= \{u_{\lambda} \mid \lambda \in \Lambda\} \cup \{\epsilon_i - e_{a^{-1}ia} \mid i \in M\} \text{ of } U = \langle G \rangle_r \subseteq F \end{aligned}$$

*Encryption:* A plaintext unit  $m \in M$  is written in the form  $\epsilon_g + e_{g'\tilde{m}}$ , where  $\tilde{m} = bmb^{-1}$ . Then we multiply by the “randomly” chosen element  $b \in \{c \in M \mid ca = ac\}$  and use the elements  $u_{\lambda}$  to compute  $w = \epsilon_{b^{-1}gb} + e_{b^{-1}g'\tilde{m}b}$ .

*Decryption:* Compute  $\text{NF}_{\tau,G}(w) = \text{NF}_{\tau,G}(e_{a^{-1}g''a} + e_{b^{-1}g'bm}) = \text{NF}_{\tau,G}(e_{b^{-1}g'b} + e_{b^{-1}g'bm})$ , where  $g'' = b^{-1}gb$ . Then determine  $m$  from the relation  $m = (b^{-1}g'bm)/(b^{-1}g'b)$ .

This is Gröbner basis version of an ElGamal like cryptosystem based on a group with a “hard” conjugator search problem (e.g. braid groups). The attacker can break the code if he can determine  $a$  from  $g$  and  $g' = a^{-1}ga$ . The advantage of knowing the Gröbner basis of that one can pass from  $\epsilon_{g''}$  to the corresponding  $e_i$  without going through  $\epsilon_g = e_{g'}$ . The computation of that Gröbner basis is equivalent to finding  $a$ .

## § 5. A Possible Generalization

- If one wants to have a theory of Gröbner bases for a ring (like  $K[\Sigma^*]$  or  $K[M]$ ), it has to be a residue class ring of a path algebra.
- The ring  $K[\Sigma^*]$  is the path algebra of the graph
- By using path algebras of more general graphs  $\Gamma$ , it is possible to build “hard” computational problems from graph theory into the computation of Gröbner bases for ideals or modules over the ring  $K[\Gamma]$ .

## Conclusions

- For two-sided ideals in  $K[\Sigma^*]$ , Gröbner bases exist, but they may not be finite.
- For finitely generated right ideals and right submodules of free modules over  $K[\Sigma^*]$ , finite right Gröbner bases exist and are computable.
- If the appropriate Gröbner basis exists, one can solve
  - the word problem for monoids
  - the generalized word problem for monoids and groups
  - the conjugation problem for groups
  - the conjugator search problem for groups
- Gröbner basis cryptosystems rely on the inherent difficulty of computing certain Gröbner bases.
- Many classical cryptosystems can be viewed as Gröbner basis cryptosystems:
  - Koblitz' polly cracker (and its generalizations)
  - ElGamal (based on discrete log)
  - RSA (based on integer factorization)
  - Conjugator search cryptosystems (e.g. in braid groups)



- The difficulty of computing the Gröbner basis in question can be based on a number of factors:
  - computing Gröbner bases is EXTSPACE-hard
  - the attacker does not know the submodule  $U$  whose Gröbner basis he needs
  - the free module has a large (or infinite) rank
  - the operation of  $K[\Sigma^*]$  on the basis vectors of  $F$  encodes difficult computational problems (e.g. discrete log or integer factorization)
  - the structure of the base ring  $K[\Gamma]$  encodes difficult computational tasks (e.g. from graph theory or combinatorics)
- For certain Gröbner basis computations, there are guaranteed lower complexity bounds.